



## 33 1. INTRODUCTION

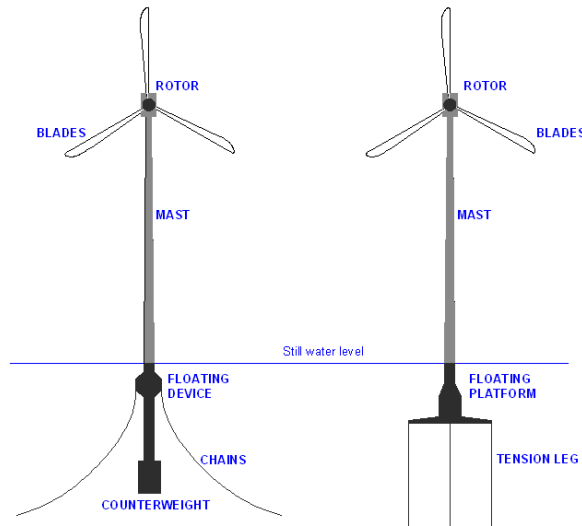
34 In the last years, energy consumption has enormously increased worldwide. In this context, the European  
35 Union has set the goal of producing 22.1% of energy from renewable sources by 2020, in accordance with the  
36 Kyoto protocol. With the ambitious COP21 agreement, more nations will start down a path towards renewable  
37 energy production, as a pledge towards climate policies. This increased demand for renewable energy  
38 production has triggered a large amount of research on coastal and offshore devices, able to produce energy  
39 from waves, currents, and wind.

40 The vision for large scale offshore floating Wind Turbines (WTs) was introduced by Heronemus in 1972 [1],  
41 but it was not until the mid 1990s, after the commercial wind industry was well established, that the topic was  
42 taken up again by the mainstream research community [2]. While the fixed WT technology can be considered  
43 mature, and many turbines have been installed in water depths up to around 25 m, it is recognized that to reach  
44 the objectives of renewable energy production it will be necessary to expand the technology for deeper waters,  
45 adopting a floater as support structure for offshore WTs.

46 An offshore WT can use different floating system configurations. In fact, there is a large variety of floater  
47 geometries, of mooring systems and of ballast options used in the offshore oil and gas industry, which can be  
48 readily adapted by the wind energy industry. With particular reference to platforms, these can be classified  
49 based on how they achieve stability in pitch and roll. Currently, there are two main categories of offshore  
50 floating WT platform concepts, the Tension Leg Platform (TLP) type and the Spar Buoy (SB) type.

51 The TLP is made of a floating platform with lines tethered from its corners to concrete blocks or other mooring  
52 systems lying at the sea bottom. On the other hand, the SB is made of a long vertical floating cylinder having  
53 approximately half of its length underwater; the cylinder is ballasted in its lower part, which provides dynamic  
54 stability to the system. The SB is usually kept in position by a catenary spread mooring system using anchor-  
55 chains, steel cables and/or synthetic fibre ropes (Figure 1).

56 However, although the interest of the scientific community for floating offshore WTs is developing quickly,  
57 the dynamic behaviour of these structures under wave and wind actions still remains an unsolved and complex  
58 issue, and a challenge in offshore engineering.



**Figure 1.** Spar buoy (SB), left, and tension leg platform (TLP), right, floating WTs.

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61 From a hydrodynamic point of view, wave-structure interaction is bi-directional, i.e. the structure responds to  
 62 viscous loads generated by the fluid flow, and to the linear diffraction; at the same time it produces eddies,  
 63 currents, and wakes, which interact with the incident wave field. In addition, offshore structures are exposed  
 64 to higher waves than coastal structures, as well as to the complexities of short-crested sea waves in combination  
 65 with stronger winds, gust bumps, wind-induced broken waves (i.e. white capping and steeper waves) and  
 66 intense currents. Furthermore, slender cylindrical bodies are known to be subjected to vortex-induced motions  
 67 [3, 4], possibly inducing large-amplitude lateral displacements caused by synchronization phenomena. In  
 68 addition, the analysis and design of offshore WTs are made more even complicated by the presence of the rotor  
 69 and by the action of the mooring lines [5]. Linear and higher-order diffraction and radiation forces, together  
 70 with the nonlinear Morison's type quadratic hydrodynamic drag loading imposed to the floating body, and  
 71 with the nonlinear response of the mooring lines, gives rise to a highly complex coupled dynamic system.

72 For the above reasons, evaluation of the design loads and expected dynamic response of offshore floating WTs  
 73 becomes a very complex topic, involving coupled wave and wind models, multivariate probability analysis [6-  
 74 8] and advanced load calculation methods [9-11]. To date, only a limited number of studies is available on the  
 75 dynamic response of floating offshore WTs [12-17], and the broad interest in renewable energies has increased  
 76 the demand of quality tests, to optimize the design of innovative floating offshore WTs and to collect reliable  
 77 and accurate data for further calibration and verification of numerical models [18].

78 Previous experimental investigations allowed gaining information on flow characteristics around structures  
79 and flow-induced forces [16, 19-21]. Physical observations can give a paramount contribution toward the  
80 rational definition of wave-structure interaction [22-24]. Therefore, the working features of floating offshore  
81 WTs needs being investigated through large-scale offshore engineering laboratory experiments. In the past,  
82 the results of these have been subjected to disclosure restrictions and confidentiality issues.

83 This paper describes some of the experience gained from physical model experiments aimed at investigating  
84 the dynamic response of two different floating offshore WT technologies, the TLP and SB, under different  
85 wind and wave conditions, and at overcoming the limitations in the available public domain dataset. In the  
86 tests two prototypes, a TLP and a SB were taken as reference, the MIT/NREL [5, 25] and the OC3-Hywind  
87 [12, 26].

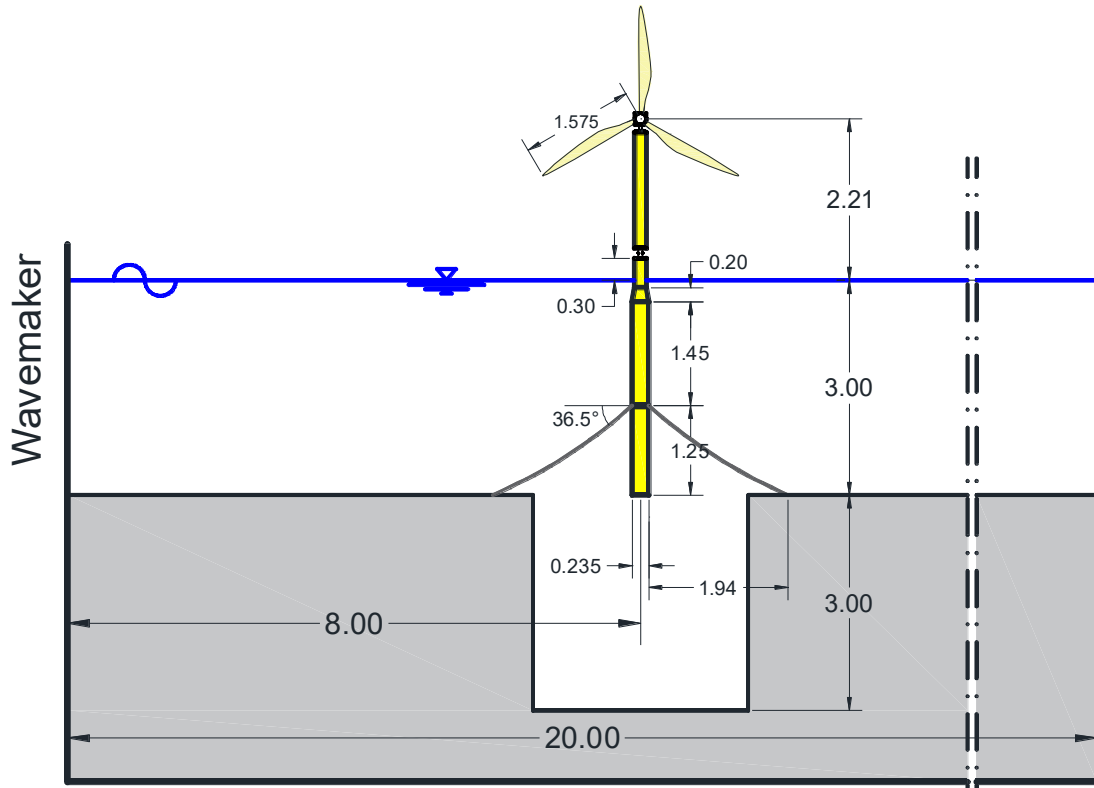
88 The objectives of the research activity have been mainly oriented at: (a) exploring the feasibility of wave-basin  
89 experiments on floating WTs, and pointing out the major difficulties; (b) gaining basic knowledge of the  
90 hydrodynamic and dynamic behaviour of floating wind turbines; (c) investigating the interaction between the  
91 mooring lines and the floating body; (d) create a reliable database for numerical modelling calibration and  
92 verification; (e) create a reliable database for comparison with full scale measurements.

93 For the sake of brevity, the results presented in this paper are limited to the SB case. The TLP case will be  
94 considered in a future paper, which will include a comparison between the TLP and SB behaviour under the  
95 same wind and wave conditions. According to the EU-Hydralab IV programme ‘Rules and conditions’  
96 ([www.hydralab.eu](http://www.hydralab.eu)), the raw data used for this paper are public domain.

## 97 **2. SPAR BUOY PHYSICAL MODEL AND SETUP**

98 The SB physical model was designed with reference to the OC3-Hywind prototype [12, 26]. The OC3-Hywind  
99 is a SB floating WT developed within the Offshore Code Comparison Collaboration (OC3), a project operating  
100 under Subtask 2 of the International Energy Agency (IEA) Wind Task 23.1. The OC3-Hywind system  
101 resembles the Hywind concept developed by Statoil Hydro in Norway; it features a 120 m, deeply drafted  
102 slender SB, with three catenaries mooring lines. The lines are attach to the platform by a delta connection (or  
103 “crowfoot”), to increase the yaw stiffness of the mooring system. The length scale of the Froude-scaled model

104 is 1:40. Tables 1 and 2 summarize the geometric and dynamic properties of the prototype and model OC3-  
105 Hywind SB (Figure 2).



106

107

**Figure 2.** Sketch of the SB model in the wave basin.

## 108 2.1 Floater characteristics

109 The floater of the SB model was designed consisting of five main parts, from top to bottom: (a) an upper  
110 cylinder, 1810 mm long with an outer diameter of 162.5 mm; (b) a 140 mm long connection element for hosting  
111 load cells, (c) an intermediate cylinder, 400 mm long with an outer diameter of 162.5 mm, (d) 200 mm long  
112 cone with an upper diameter of 162.5 mm and a lower diameter of 235 mm, and (e) a 2700 mm long cylinder  
113 with a diameter of 235 mm. The lower cylinder has a removable bottom 100 mm long, which was used to place  
114 the ballast. During the tests, the still water level (SWL) was 300 mm below the top of the intermediate cylinder.  
115 Ballast was designed to match scale requirements; lead bars and small lead spheres with a total weight of 92.5  
116 kg were inserted at the bottom of the SB; a foam cover prevented the spheres from moving during testing.  
117 Figure 3 shows a photo of the setup of the floating SB.

**Table 1.** Geometric characteristics of the SB OC3-Hywind. Length scale  $\lambda = 1:40$ .

SB OC3-HYWIND	Full scale	Unit	Scale factor	Scaled model
SB diameter above taper	6.50	m	$\lambda$	0.162
SB diameter below taper	9.40	m	$\lambda$	0.235
Depth to top of taper below SWL	4.00	m	$\lambda$	0.100
Depth to bottom of taper below SWL	12	m	$\lambda$	0.300
Depth to floater base below SWL (total draft)	120	m	$\lambda$	3.000
Tower height	88.50	m	$\lambda$	2.212
Hub level	90	m	$\lambda$	2.250
Hub diameter	3.00	m	$\lambda$	0.075
Radius to fairleads	9.40	m	$\lambda$	0.235
Radius to anchors	9.40	m	$\lambda$	0.235
Depth to fairleads	70	m	$\lambda$	1.750
Depth to anchors	320	m	$\lambda$	8.000
Depth of C.o.M. below SWL	89.92	m	$\lambda$	2.248
Unstretched line length	902	m	$\lambda$	22.56
Line diameter	90	mm	$\lambda$	2.25
Angle between adjacent lines	120	Deg.	$\lambda^0$	120

**Table 2.** Dynamic properties of the SB OC3-Hywind. Length scale  $\lambda = 1:40$ .

SB OC3-HYWIND	Full scale	Unit	Scale factor	Scaled model
Rotor mass	110,000	kg	$\lambda^3$	1.677
Nacelle mass	240,000	kg	$\lambda^3$	3.658
Tower mass	347,500	kg	$\lambda^3$	5.297
Floating system mass (including ballast)	7,466,330	kg	$\lambda^3$	113.82
Total mass	8,163,830	kg	$\lambda^3$	124.45
Water displacement	8,029	m <sup>3</sup>	$\lambda^3$	0.125
Buoyancy (water displacement x sea water density)	8,229,725	kg	$\lambda^3$	125.45
Buoyancy - Total Mass	65,895	kg	$\lambda^3$	1.004
Line mass density	78	kg/m	$\lambda^2$	0.0474
Suspended line = (Buoyancy – Total Mass) / (Line Mass density) / 3	283	m	$\lambda$	7.066

## 120 2.2 Mooring system design

121 According to Jonkman [12], the total vertical component of the force that the full-scale buoy experiences from  
122 the three mooring lines is 1,607 kN, therefore, each line applies a vertical force  $F_V = 535.7$  kN to the SB. From  
123 the vertical component of the force, and considering that the submerged weight of the line per unit length is  $w$   
124 = 698.1 N/m, it was possible to determine the length  $l_s$  of the suspended mooring line, assuming that this is  
125 inextensible:



**Figure 3.** Spar buoy wind turbine model in the wave basin.

$$l_s = \frac{F_V}{w} = 767.3 \text{ m} \quad (1)$$

126  
127 Being the vertical distance of the fairleads to the sea bottom  $D = 250$  m, the horizontal component of the mooring force is [27]:

$$F_H = \frac{w(l_s^2 - D^2)}{2D} = 734.8 \text{ kN} \quad (2)$$

130 The horizontal component of the suspended mooring line length is:

$$x = \frac{F_H}{w} \cosh^{-1} \left( \frac{wD}{F_H} + 1 \right) = 711.8 \text{ m} \quad (3)$$

131 moreover, the distance  $x_A$  of the fairlead to the anchor is:

$$x_A = l - l_s + x = 846.7 \text{ m} \quad (4)$$

132  $l = 902.2$  m being the total length of the line.

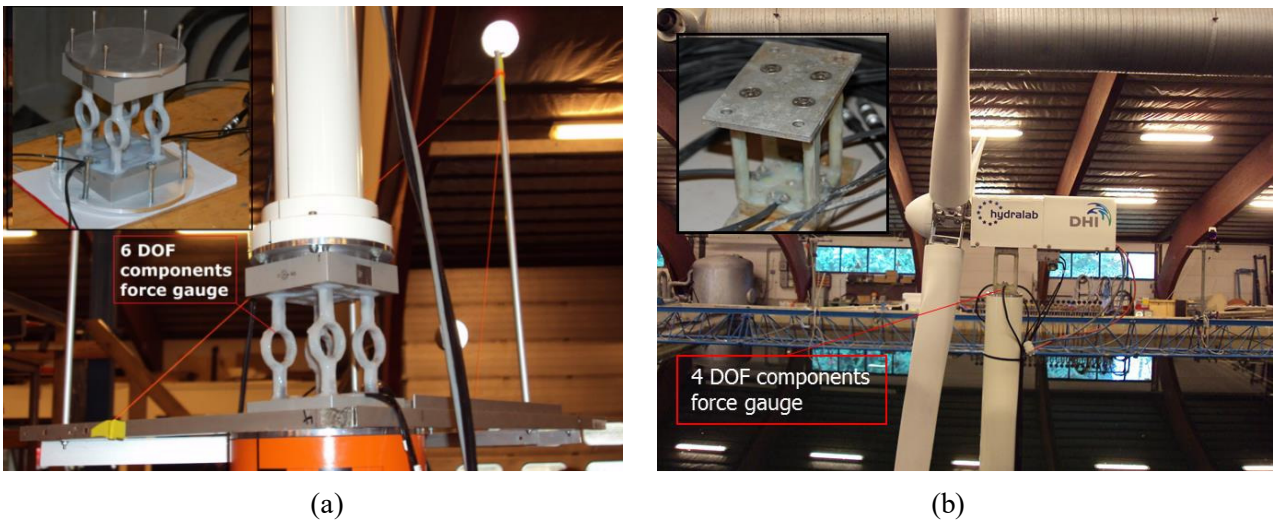
133 The design of the mooring system was carried out through a static analysis of one single line using  
134 STATMOOR Code [28]; this allows handling the static analysis of extensible mooring lines made of several  
135 segments, each of which having different geometric properties and with attached submerged buoys.

136 Inserting the value of  $F_H$  as input to STATMOOR, the static equilibrium configuration of a single mooring  
137 line was obtained, together with the vertical component of the force at the top and with the horizontal distance  
138 of the top of the line to the anchor.

139 The full-scale mooring system is specified to 320 m water depth, whereas the 3 m deep basin allows reaching  
140 only a corresponding full-scale depth of 120 m in a scale of 1:40. Therefore, it was necessary to distort the  
141 model by truncating the mooring lines. The designed mooring system consisted of three lines directly  
142 connected to the main cylinder using a collar with fairleads placed 1.75 m below SWL. The angle between  
143 two adjacent mooring lines was  $120^\circ$ . The mooring lines were truncated at a vertical distance of 1.25 m and a  
144 horizontal distance of 1.94 m from the fairleads. Each line was made of a thin rope 1.7 mm in diameter, with  
145 a weight of 2.4 g/m and an extensional stiffness of 6.25 N/mm. Force transducers having a maximum load  
146 capacity of 300 N measured the forces at the top of the three mooring lines. Between the transducers and the  
147 mooring lines, 0.75 m long springs were placed, with a stiffness of about 28.4 N/m. The mooring lines were  
148 pre-tensioned with weights of 1.5 kg each, so to reproduce the same initial configuration in terms of zenithal  
149 angle ( $36^\circ$ ) and lateral force  $F_H$  at fairleads, and stiffness properties of the longer chain mooring lines.

### 150 2.3 Tower, rotor and blades

151 An overview of the instrumentation of the rotor and of the tower is given in Figures 4 and 5, respectively.  
152 Tables 3 and 4 summarize the properties of the WT and of the blades, respectively.



155 **Figure 4.** (a) 6-DOF force gauges placed at the base of the tower. (b) Rotor, nacelle and 4-DOF force gauge  
156 placed between the tower and the nacelle.



157

**Table 3.** Summary of properties of the WT. Length scale  $\lambda = 1:40$ .

WT	Full scale	Unit	Scale factor	Scaled model
Rotor mass	110,000	kg	$\lambda^3$	1.677
Nacelle mass	240,000	kg	$\lambda^3$	3.658
Rated rotor speed	12.1	rpm	$\lambda^0$	12.1
Overhang	5.00	m	$\lambda$	0.125
Shaft tilt	5.0	Deg.	$\lambda^0$	5.0

158

**Table 4.** Summary of properties of the blades.

Blade	Weight [g]	Centre of gravity [cm]
1	496	42.2
2	475	41.7
3	477	42.1

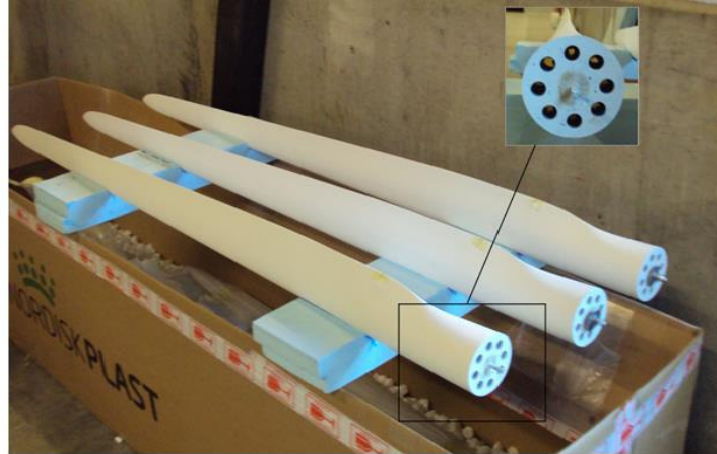
159 A six component force gauge was mounted at the base of the tower, between the tower and the floater,  
 160 measuring  $F_{x,base}$ ,  $F_{y,base}$ ,  $F_{z,base}$  and  $M_{x,base}$ ,  $M_{y,base}$  and  $M_{z,base}$ . The tower was made out of a plastic cylinder, with  
 161 an outer diameter of 80 mm and a length of 1615 mm. At the top of the tower, between the tower and the  
 162 nacelle, a four components force gauge was mounted, measuring  $F_{x,top}$ ,  $F_{y,top}$ ,  $M_{x,top}$  and  $M_{y,top}$ . Furthermore,  
 163 three accelerometers were placed at different levels along the tower; in particular, two accelerometers were  
 164 located underneath the nacelle, measuring the lateral ( $y$ ) and vertical ( $z$ ) accelerations, and a third one at the  
 165 bottom of the tower, measuring the longitudinal ( $x$ ) acceleration.

166 A motor inside the casing induced the rotation for the rotor. A potentiometer adjusted the rotational speed to  
 167 38 rpm, which corresponds to a rotational speed of 12.1 rpm full scale. This allowed for gyroscopic effects.

168 The rotor blades were made of fiberglass and were geometrically scaled from a real case. Each blade had a  
 169 length of 1.575 m (Figure 5). The pitch of the blades was set to  $30^\circ$ , giving rise to a measured thrust of 3 N at  
 170 38.1 rpm, model scale. Further tests to obtain a relationship between thrust and rotational speed were carried  
 171 out with rotational speeds of 32 rpm and 42 rpm, model scale.

172 Only static wind loads were reproduced, by applying the mean thrust force to the nacelle. This was done with  
 173 a weightless line connected to the nacelle, passing through a pulley and with a suspended mass. The full-scale  
 174 thrust for the 5 MW NREL reference turbine was calculated by different researchers, for example by  
 175 Sclavounos *et al.* [29] who found that the rotor thrust under an 11 m/s wind is equal to about 800 kN,

176 corresponding to 10 N for the 1:40 scaled model. Almost 3 N came from the trust force generated by the rotor,  
177 and the difference was obtained with a weight of 7 N.



178  
179

**Figure 5.** Blades profile and connection section.

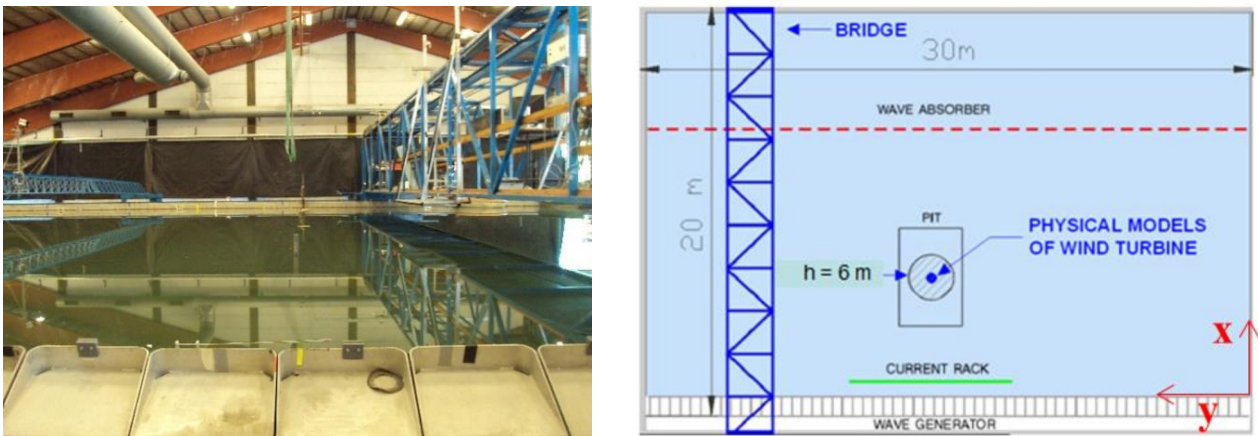
### 180 **3. WAVE GENERATION AND BASIN INSTRUMENTATION**

181 The experiments were performed at the DHI Offshore Wave Basin in Hørsholm, Denmark. The wave basin  
182 (Figure 6) is 20 m long and 30 m wide, with a water depth of 3 m and a 6 m deep pit. The floating structure  
183 was placed at the centre of the pit, at a distance of 8 m from the wave maker, which lies on the 30 m wide side  
184 of the basin.

185 The wave maker is equipped with 60 individually controlled flaps, able of generating regular and irregular  
186 waves. A parabolic wave absorber located opposite to the wave maker minimized reflection. The  
187 characteristics of the incident and reflected waves were evaluated through a five wave-gauge array reflection  
188 analysis [30]. Wave calibration was made placing the five gauges at the centre of the pit; during the model  
189 tests, the gauges were moved 3 m downstream the floating structure. In addition, six wave gauges were located  
190 around the structure; an array of three was located 1.50 m upstream of the model and another array of three  
191 1.50 m downstream the model.

192 A Nortek Vectrino velocimeter measured the velocity field in the proximity of the structure. The ADV was  
193 located at a distance of 60 cm from the front size of the floater. A Qualisys Track System ([www.qualisys.com](http://www.qualisys.com))

194 tracked the six DoF rigid body motion of the model: surge, sway, heave, roll, pitch and yaw. The system is  
195 based on two cameras emitting infrared light. Five passive spherical markers, 40 mm in diameter, reflect the  
196 infrared light; these were positioned on a frame mounted at the tower base, just below the six-component force  
197 gauge. Data processed by the Qualisys Track Manager were directly transferred through an analog output to  
198 the main data acquisition system and thus synchronized with all other recorded data.  
199 All the sensors were synchronized using the DHI Wave Synthesizer. Sampling took place at 40 Hz and lasted  
200 3 minutes for each regular wave case and 10 minutes for each irregular wave case.



201  
202 **Figure 6.** DHI Offshore Wave Basin in Hørsholm, Denmark.

#### 203 4. TEST PROGRAM

204 According to IEC 61400-1 and IEC 61400-3 [31, 32], the three conditions of cut-in, of rated speed and cut-out  
205 were considered in the tests. First, cut-in conditions were tested; then, the rated speed condition was simulated,  
206 combining mean thrust, rotating rotor and different sea states with regular and irregular waves; finally, extreme  
207 wave conditions were generated, with the rotor being stopped and mean thrust corresponding to cut-out wind  
208 speed. Long-crested regular and irregular waves were generated, orthogonal ( $0^\circ$ ) and oblique ( $20^\circ$ ) to the  
209 structure. The selected wave conditions refer to typical storm conditions, for both sea and ocean areas. In Table  
210 5 the characteristics of the generated waves are given, where  $H$  and  $T$  indicate the regular wave height and  
211 wave period, respectively, and  $H_s$  and  $T_p$  indicate the significant wave height and peak wave period,  
212 respectively.

**Table 5.** Test program.

Wind speed (rotor condition)	Waves	Prototype scale		Model scale	
		$H$ or $H_s$	$T$ or $T_p$	$H$ or $H_s$	$T$ or $T_p$
		[m]	[s]	[cm]	[s]
0 m/s (parked) 11.4 m/s (rated)	Regular	1.00	10.1	2.5	1.6
		1.56	12.6	3.9	2.0
		1.80	15.2	4.5	2.4
		4		10	
		6	11.4	15	1.8
		8		20	
	Irregular	6	12.6	15	2.0
			15.2		2.4
		4	10.1	10	1.6
		6		15	
11.4 m/s (rated)	Regular	10	11.4	25	1.8
		12	12.6	30	2.0
25 m/s (stalled)	Irregular		15.2		2.4
		8	12.6	20	2.0

## 214 5. RESULTS AND DISCUSSION

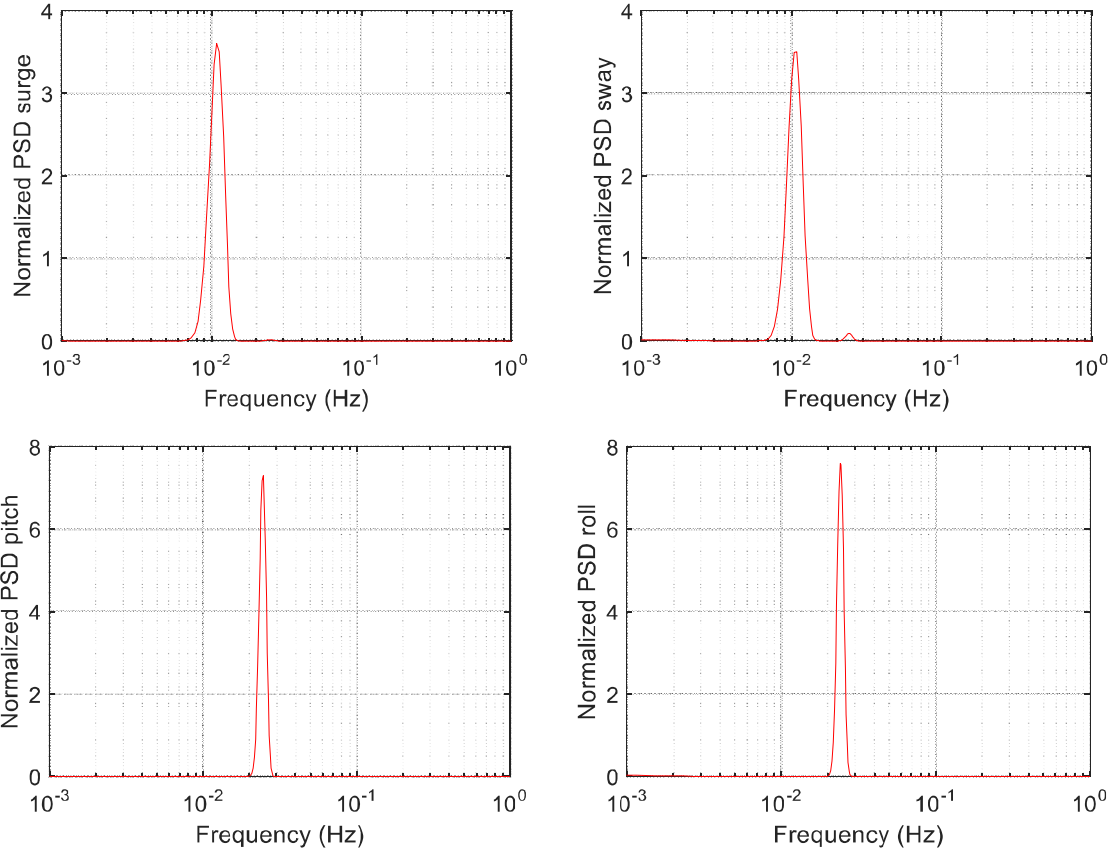
215 All data from the tests were converted to full scale using Froude scaling before being analysed. In particular,  
 216 eight tests with different wave characteristics,  $H$  and  $T$ , and rotor blades conditions (parked/operational) were  
 217 selected for discussion (Table 6). For all the selected tests, wave incidence was orthogonal to the structure.

218 **Table 6.** Regular wave tests considering in the discussion.

$H$ [m]	$T$ [s]	Parked	Rated	Stalled
4	11.4	1380	1414	-
6	11.4	1381	1415	-
8	11.4	1382	1416	-
10	11.4	-	1481	1443

### 219 5.1 Free decay tests

220 Free decay tests were carried out to evaluate the surge, sway, roll and pitch natural frequencies and damping  
 221 ratios of the SB wind turbine. Figure 7 shows the normalized Power Spectral Density Functions (PSDFs)  
 222  $f \cdot S(f)/\sigma^2$  of the non-stationary measured surge, sway, pitch and roll, evaluated by MATLAB<sup>®</sup>. Natural  
 223 frequencies of 0.011 Hz were found for the surge and sway motions and of 0.024 Hz for the roll and pitch  
 224 motions (Table 7).



**Figure 7.** Normalized PSDFs from the free decay tests: surge and sway (top), pitch and roll (bottom).

The power in a band of 0.01 Hz around the natural frequency was evaluated and found to be in the order of 99% of the total power for the surge, roll and pitch motions, and in the order of 97.5% for the sway motion (Table 7). Notice that there is a slight difference between the surge and sway frequencies, deriving from the different angles of the moorings for the two directions on movement; in the following we shall refer to a common surge/sway frequency of 0.011, and a common roll/pitch frequency of 0.024.

**Table 7.** Natural periods and frequencies, band power and total power of surge, sway, roll and pitch motions.

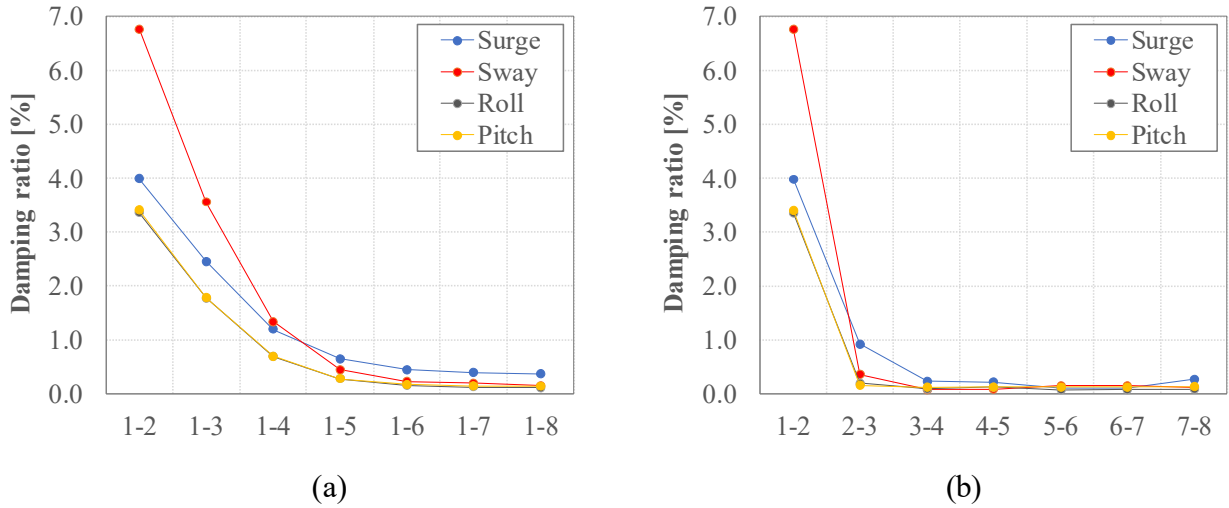
D.o.F.	Period	Frequency	Band power		Total power	
	[s]	[Hz]				
Surge	88.5	0.0113	6.126	[m <sup>2</sup> ]	6.171	[m <sup>2</sup> ]
Sway	94.5	0.0106	23.97	[m <sup>2</sup> ]	24.58	[m <sup>2</sup> ]
Roll	41.5	0.0241	0.0220	[deg <sup>2</sup> ]	0.0221	[deg <sup>2</sup> ]
Pitch	40.9	0.0244	0.0096	[deg <sup>2</sup> ]	0.0097	[deg <sup>2</sup> ]

The damping ratio was calculated using the logarithmic decrement method, as a function of two response amplitudes  $X_j$  and  $X_{j+1}$  according to the following expression:

$$\xi = \frac{\delta}{\sqrt{4\pi^2 + \delta^2}} \quad (6)$$

236 where  $\delta = (1/j) \ln (X_j/X_{j+1})$ ,  $j$  being the number of cycles taken into account.

237 To quantify the non-linear nature of damping, damping ratios were calculated considering different numbers  
 238 of cycles, as shown in Figure 8. As expected, the damping ratios decrease with decreasing amplitude of  
 239 oscillations. In particular, it is found that, besides the first cycle featuring a very large damping, the damping  
 240 ratios stabilize at the second cycle, and become almost constant from the third cycle. In addition, damping  
 241 appears to be only little dependent on D.o.F.; in particular values of 0.12, 0.19, 0.13 and 0.15 % were found  
 242 for surge, sway, roll and pitch, respectively when the fourth cycle of oscillation was considered.



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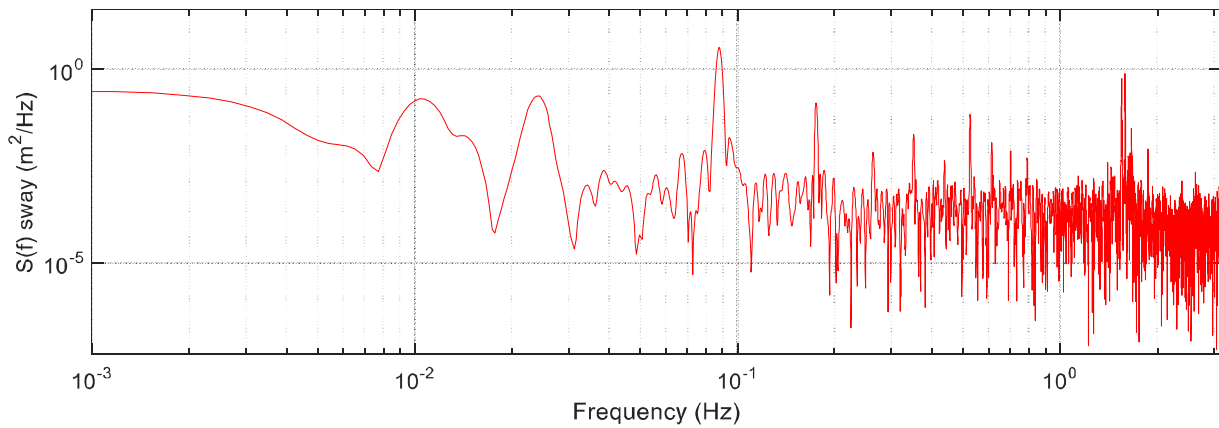
245 **Figure 8.** Damping ratios for the surge, sway, roll and pitch motions from the free decay tests, obtained from  
 246 the average logarithmic decrement considering: (a) the peaks  $X_1$  and  $X_{j+1}$  and (b) consecutive pairs of peaks.

## 247 5.2 Dynamic response to regular waves

248 In this section, the measured displacements, rotations, accelerations and forces at the top and base of the tower  
 249 are discussed in the time and frequency domains, for the selected tests given in Table 6.

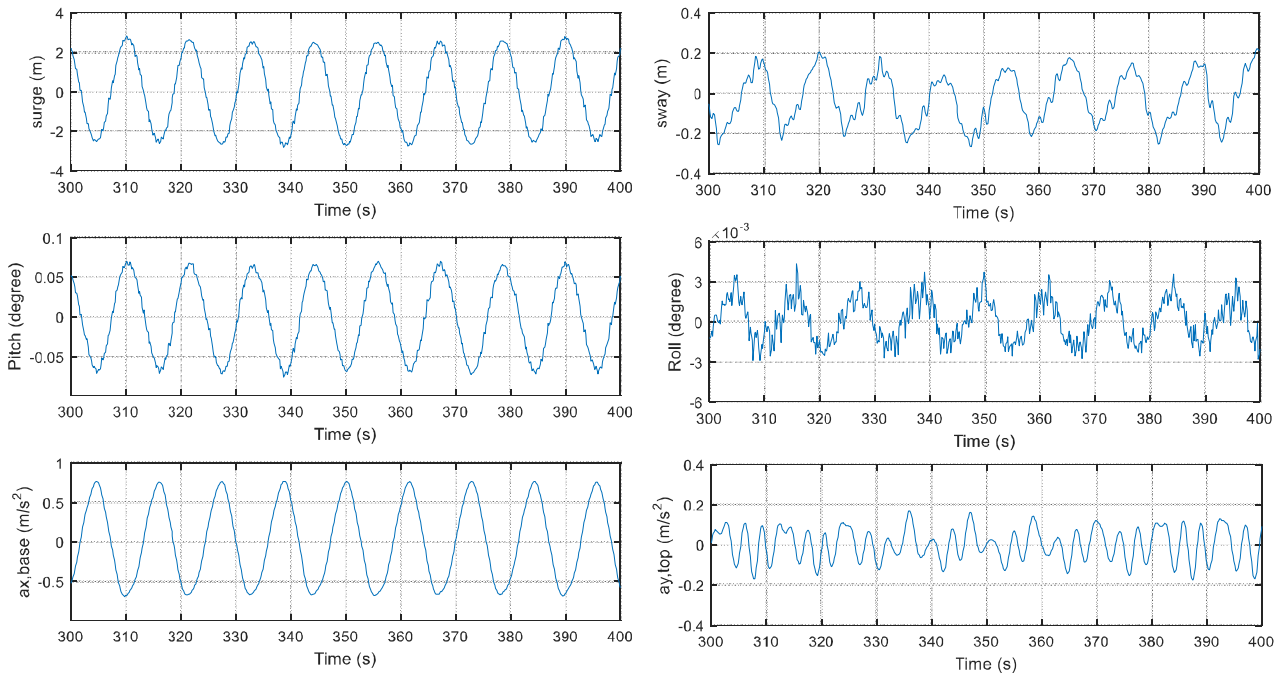
250 As an example, in figure 9 the PSDF of sway as measured in test #1382 is shown. The natural sway frequency  
 251 of 0.011 Hz and the wave frequency of 0.088 Hz are clearly identified. In addition, the first two harmonics of  
 252 the wave frequency are also visible at 0.176 Hz and 0.264 Hz; these are the effect of second-order  
 253 hydrodynamic excitation, in agreement with Browning *et al.* [33]. Finally, a spike is also clearly visible at a

254 frequency of 1.6 Hz. These five frequencies are recognized in almost all measured signals, with different  
 255 relative amplitudes, depending on wave height, rotor condition, and measured quantity. The peak at 1.6 Hz is  
 256 postulated to correspond to the first elastic bending frequency of the system. This was calculated to be 0.4 Hz  
 257 for the prototype structure [33], and if Cauchy scaling were matched, it should have been the same on the  
 258 model. Indeed, Cauchy scaling was not considered in the design of the model, therefore elastic frequencies are  
 259 not accurately reproduced by the model. This suggests that the measured signals be filtered in order to remove  
 260 the frequencies at which elastic response occurs. In doing this one must be aware that if the elastic modes were  
 261 properly reproduced in the model, these would have given a higher contribution to the total response than the  
 262 one that is removed.



263  
 264 **Figure 9.** PSD of sway as measured in test #1382.

265 Again for test #1382, in Figure 10 sample time histories of surge, sway, roll, pitch,  $a_{x,base}$  and  $a_{y,top}$  are shown.  
 266 It is noted that all the quantities associated with a longitudinal motion are almost sinusoidal, with a frequency  
 267 of 0.088 Hz, indicating that the motion takes place at the excitation frequency. The remaining quantities, which  
 268 are associated with a lateral motion, show a quite different behaviour. Both sway and roll feature two different  
 269 components, one at a frequency of 0.088 Hz, associated with the external excitation acting in the longitudinal  
 270 direction, and the other at 0.83 Hz for sway and at 1.6 Hz for roll, corresponding to the elastic frequency. For  
 271  $a_{y,top}$  the response occurs mainly at 0.3 Hz.



272

273

**Figure 10.** Sample time histories of surge, sway, roll, pitch,  $a_{x,base}$  and  $a_{y,top}$  as measured in test #1382.

274

The results discussed above were consistent among all the tests analysed, and this can be better seen from a frequency domain analysis.

276

In figure 11, the PSDFs of surge as measured in the eight tests listed in Table 6 are shown, together with a close-up view of the peaks at the first and second harmonic of the fundamental wave frequency. In all the tests the response is dominated by the wave frequency. It is noticed that in parked conditions the response increases with wave height at all frequencies of interest, whereas in operational conditions this trend is not always confirmed; this suggests that the gyroscopic effects and the rotor dynamics can somehow affect response.

281

Figure 12 shows, in the same format as Figure 11, the PSDFs of the longitudinal accelerations as measured in eight tests listed in Table 6, confirming the same results as those of Figure 12.

283

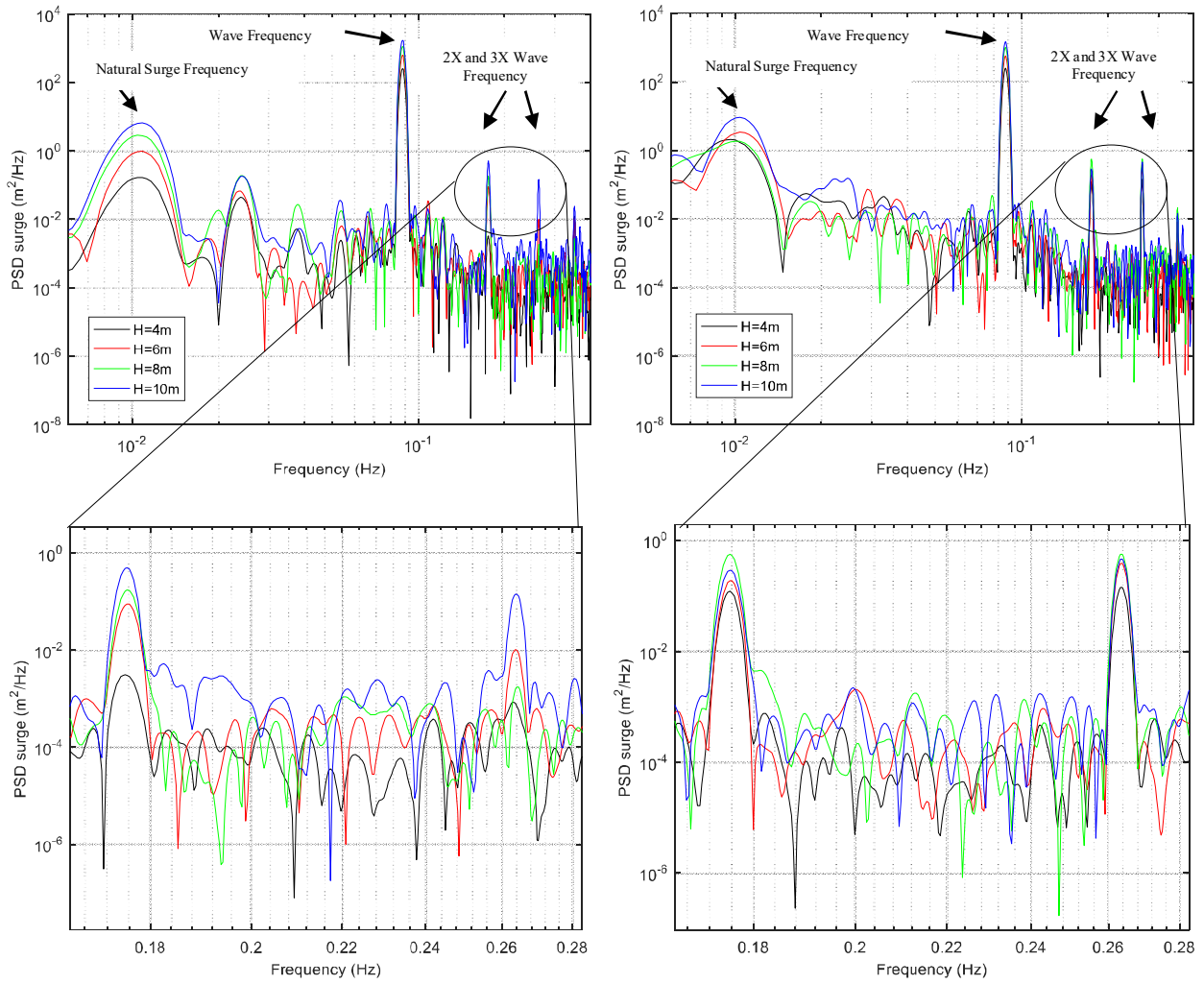
Figures 13 and 14 show the PSDFs of sway and of lateral accelerations as measured in eight tests listed in Table 6. For sway the wave frequency is not dominant, but most of the excitation is at the oscillation frequency;

285

on the other hand, for the accelerations higher frequency components are amplified and the wave frequency is dominant again.

286



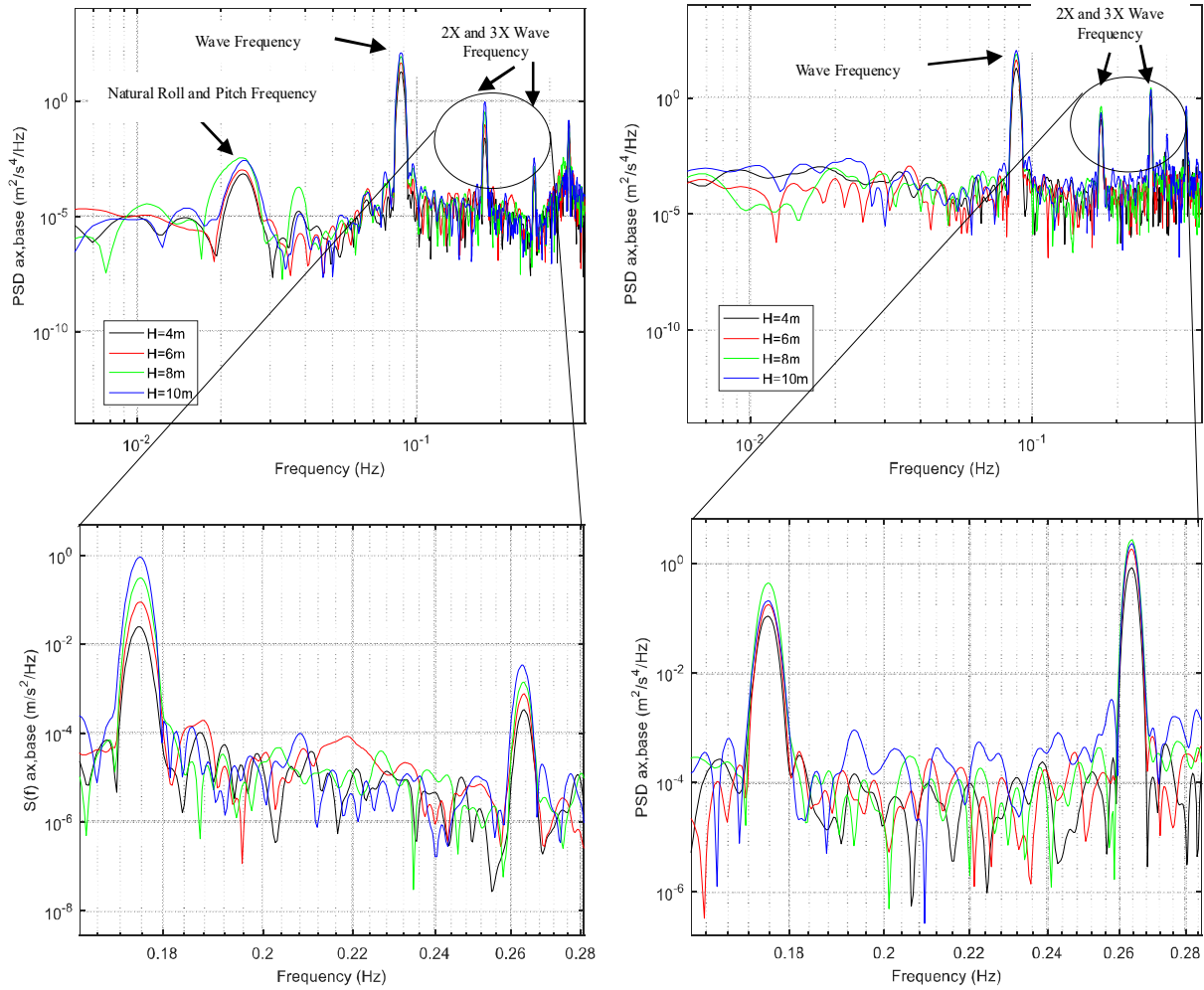


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**Figure 11.** PSDs of surge as measured in the different tests: parked conditions (left) and operational conditions (right). Close-up view of the peaks at the first and second harmonic of the wave frequency.

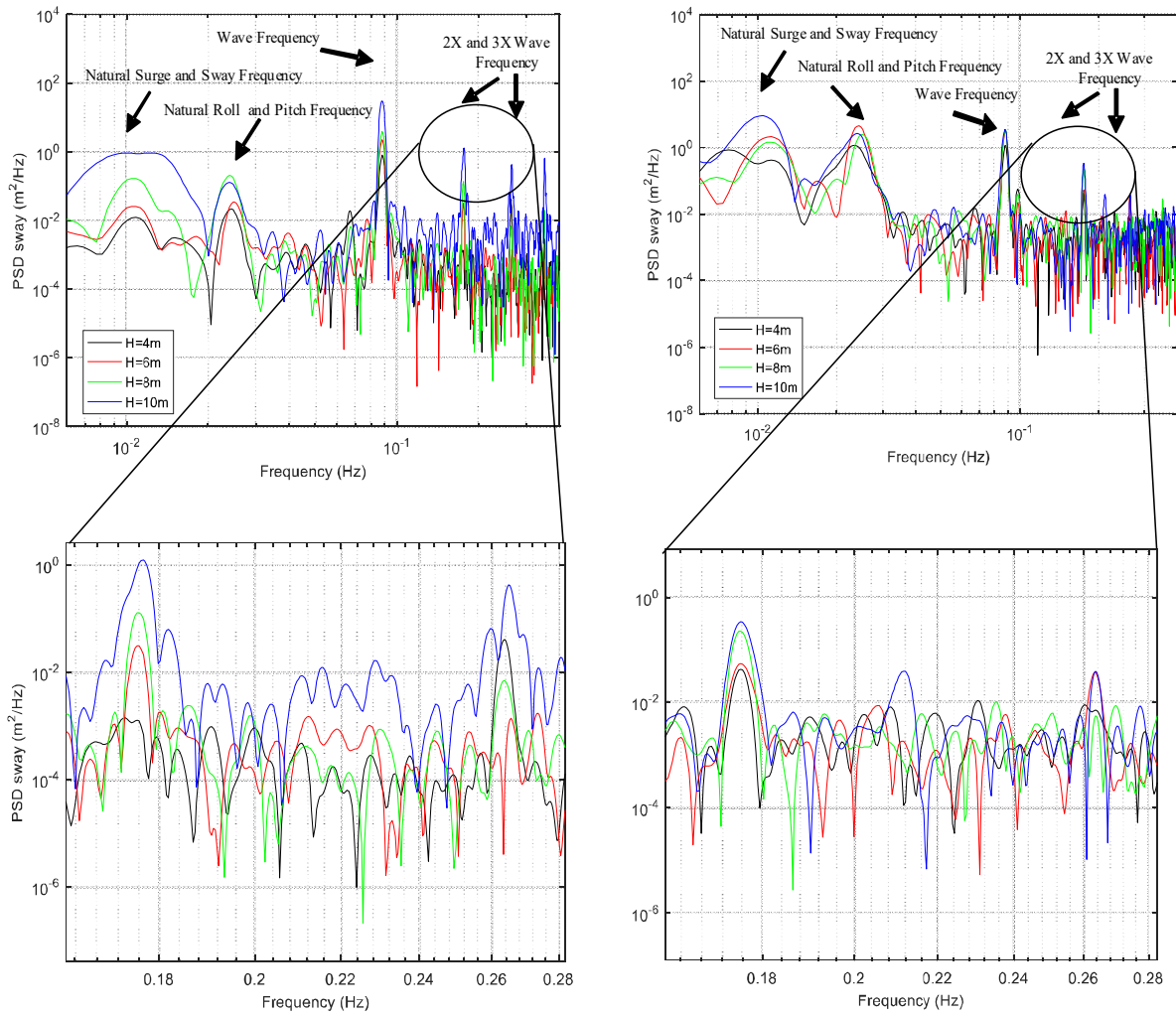


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**Figure 12.** PSDs of  $a_{x,base}$  as measured in the different tests: parked conditions (left) and operational conditions (right). Close-up view of the peaks at the first and second harmonic of the wave frequency.

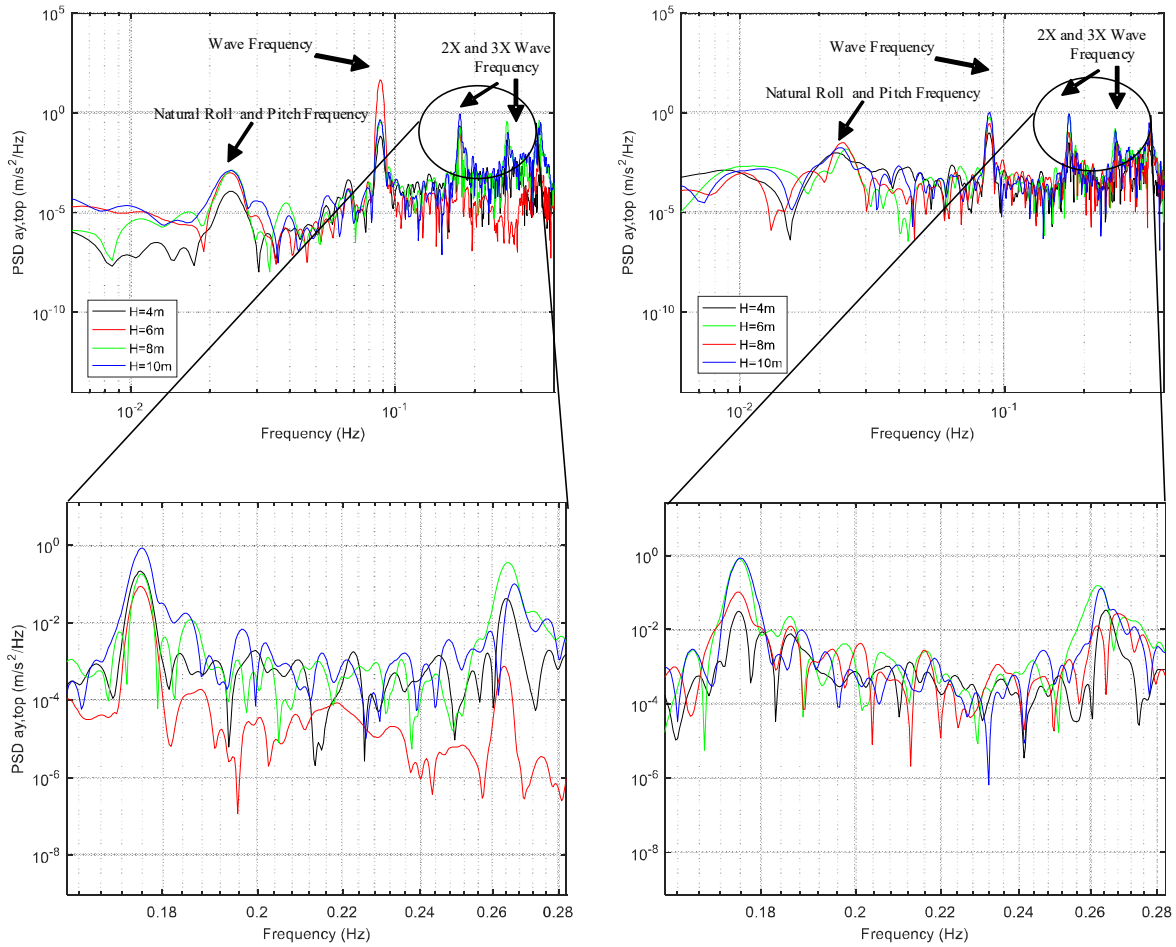


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294

295

**Figure 13.** PSDs of sway as measured in the different tests: parked conditions (left) and operational conditions (right). Close-up view of the peaks at the first and second harmonic of the wave frequency.



296

297 **Figure 14.** PSDFs of  $a_{y,top}$  as measured in the different tests: parked conditions (left) and operational  
 298 conditions (right). Close-up view of the peaks at the first and second harmonic of the wave frequency.

299 To quantify the contribution of the different frequencies to the total response, Tables 8 through 13 show the  
 300 power corresponding to narrow ranges around the relevant frequencies, together with the total power. Tables  
 301 8, 10 and 12 show the quantities associated with the longitudinal response. It is observed that the fundamental  
 302 wave frequency contributes to the total surge from 96.8% to 98.5%, to the total pitch from 97.1% to 99.1%  
 303 and to the total longitudinal acceleration from 93.7% to 98.6%. Only in the case of the longitudinal  
 304 acceleration there is a minor contribution of the second harmonic of the wave frequency of up to 4.1%.

305

**Table 8.** Surge narrow-band and total power (m<sup>2</sup>).

<i>H</i> (m)	Parked				Operational			
	4	6	8	10	4	6	8	10
Surge/Sway Frequency	5.42E-04	2.82E-03	8.58E-03	1.97E-02	6.98E-03	1.06E-02	7.46E-03	2.51E-02
Wave Frequency	7.79E-01	1.88E+00	3.37E+00	5.21E+00	7.77E-01	1.77E+00	3.17E+00	4.61E+00
2X Wave Frequency	1.26E-05	2.95E-04	5.62E-04	1.50E-03	3.62E-04	5.65E-04	1.69E-03	9.17E-04
3X Wave Frequency	3.86E-06	3.16E-05	4.95E-06	4.47E-04	4.42E-04	1.17E-03	1.70E-03	1.40E-03
Total power	7.92E-01	1.91E+00	3.42E+00	5.30E+00	8.03E-01	1.81E+00	3.23E+00	4.70E+00

306

**Table 9.** Sway narrow-band and total power (m<sup>2</sup>).

<i>H</i> (m)	Parked				Operational			
	4	6	8	10	4	6	8	10
Sway/Surge Frequency	3.44E-05	7.36E-05	4.62E-04	4.73E-03	3.47E-03	6.53E-03	4.38E-03	3.05E-02
Wave Frequency	2.51E-03	6.94E-03	1.18E-02	9.91E-02	3.53E-03	9.44E-03	9.94E-03	1.17E-02
2X Wave Frequency	8.33E-06	9.06E-05	3.77E-04	4.38E-03	1.32E-04	2.21E-04	7.33E-04	1.24E-03
3X Wave Frequency	1.30E-04	4.72E-06	2.09E-05	1.56E-03	6.28E-05	1.28E-04	4.08E-08	1.19E-04
Total power	3.20E-03	7.90E-03	1.50E-02	1.25E-01	1.19E-02	4.34E-02	2.77E-02	6.21E-02

307

**Table 10.** Pitch narrow-band and total power (deg<sup>2</sup>).

<i>H</i> (m)	Parked				Operational			
	4	6	8	10	4	6	8	10
Pitch/Roll Frequency	2.52E-07	5.18E-07	1.97E-06	9.84E-07	5.73E-07	3.29E-07	6.98E-07	1.76E-06
Wave Frequency	4.32E-04	1.14E-03	2.15E-03	3.03E-03	4.05E-04	9.20E-04	1.68E-03	2.32E-03
2X Wave Frequency	1.28E-06	7.27E-07	2.83E-06	3.94E-05	2.98E-07	3.61E-07	4.26E-07	5.16E-08
3X Wave Frequency	3.39E-08	2.56E-07	5.83E-08	8.40E-06	1.12E-06	1.33E-06	3.16E-06	1.97E-06
Total power	4.38E-04	1.15E-03	2.17E-03	3.13E-03	4.17E-04	9.33E-04	1.70E-03	2.35E-03

308

**Table 11.** Roll narrow-band and total power (deg<sup>2</sup>).

<i>H</i> (m)	Parked				Operational			
	4	6	8	10	4	6	8	10
Roll/Pitch Frequency	2.67E-09	4.66E-09	1.48E-08	8.37E-08	2.84E-07	3.38E-07	4.49E-07	4.20E-07
Wave Frequency	2.95E-07	9.01E-07	1.77E-06	3.78E-06	3.79E-06	1.10E-05	2.28E-05	3.27E-05
2X Wave Frequency	1.07E-07	2.96E-09	4.19E-08	3.22E-06	1.01E-07	6.59E-08	1.46E-07	7.78E-08
3X Wave Frequency	2.07E-08	2.05E-09	7.16E-09	1.20E-06	3.00E-08	1.21E-08	2.38E-08	1.99E-08
Total power	4.25E-07	9.11E-07	1.83E-06	8.28E-06	4.21E-06	1.14E-05	2.34E-05	3.32E-05

309

**Table 12.** Acceleration  $a_{x,base}$  narrow-band and total power (m<sup>2</sup>/s<sup>4</sup>).

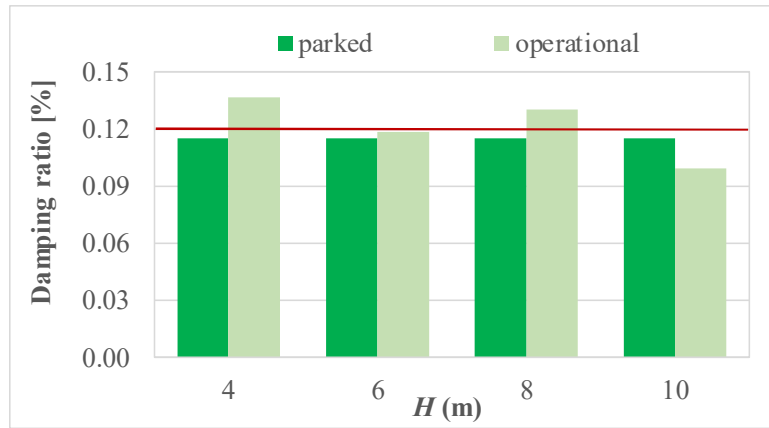
<i>H</i> (m)	Parked				Operational			
	4	6	8	10	4	6	8	10
Pitch/Roll Frequency	2.07E-06	3.29E-06	1.43E-05	8.63E-06	8.56E-07	8.56E-07	2.85E-06	1.10E-05
Wave Frequency	5.61E-02	1.37E-01	2.48E-01	3.82E-01	5.63E-02	1.27E-01	2.30E-01	3.29E-01
2X Wave Frequency	7.63E-05	2.86E-04	9.54E-04	2.76E-03	3.39E-04	5.37E-04	1.34E-03	6.70E-04
3X Wave Frequency	1.08E-06	2.39E-06	4.40E-06	1.07E-05	2.56E-03	5.56E-03	8.32E-03	6.94E-03
Total power	5.71E-02	1.39E-01	2.52E-01	3.89E-01	6.01E-02	1.35E-01	2.43E-01	3.42E-01

**Table 13.** Acceleration  $a_{y,top}$  narrow-band and total power ( $m^2/s^4$ ).

$H$ (m)	Parked				Operational			
	4	6	8	10	4	6	8	10
Roll/Pitch Frequency	4.20E-07	1.08E-06	4.12E-06	4.88E-06	5.90E-05	1.02E-04	4.93E-05	7.49E-05
Wave Frequency	2.12E-04	5.23E-04	1.10E-03	1.39E-03	3.21E-04	8.91E-04	1.92E-03	3.17E-03
2X Wave Frequency	7.15E-04	5.96E-04	5.18E-04	2.79E-03	9.26E-05	4.02E-04	2.62E-03	2.97E-03
3X Wave Frequency	1.61E-04	6.83E-04	1.76E-04	2.80E-03	1.28E-04	1.41E-04	8.29E-04	5.22E-04
Total power	1.21E-03	2.79E-03	5.62E-03	7.35E-03	1.44E-03	2.87E-03	7.57E-03	1.02E-02

311 Tables 9, 11 and 13, on the other hand, show the quantities associated with the lateral response. Only for sway  
312 in operational conditions, the fundamental wave frequency is not dominant, and contributes to the total response  
313 from 18.8% to 35.9%, whereas the oscillation frequency contributes to the total response from 15.1% to 49.1%;  
314 in this case there is also a contribution up to 32.9% at the roll frequency (not shown in the tables). For sway in  
315 parked conditions and for roll the wave frequency is dominant, with contributions to the total response from  
316 78.4% to 87.8% for sway, and from 45.6% to 98.9% for roll; the lowest contributions of the wave frequency  
317 to roll are accompanied by contributions at its first harmonic, so that the sum of the two components is always  
318 greater than 84.5%. For the lateral acceleration the wave frequency and its harmonics (up to the third)  
319 contribute to the total response from 50.7% to 89.9%. The variability of the total variance of the longitudinal  
320 response parameters with oncoming wave height is parabolic, and common to all parameters, regardless of the  
321 rotor condition (parked or operational); for the lateral response parameters the variability with wave height is  
322 not as regular, and dependent on the particular parameter and on the turbine condition.

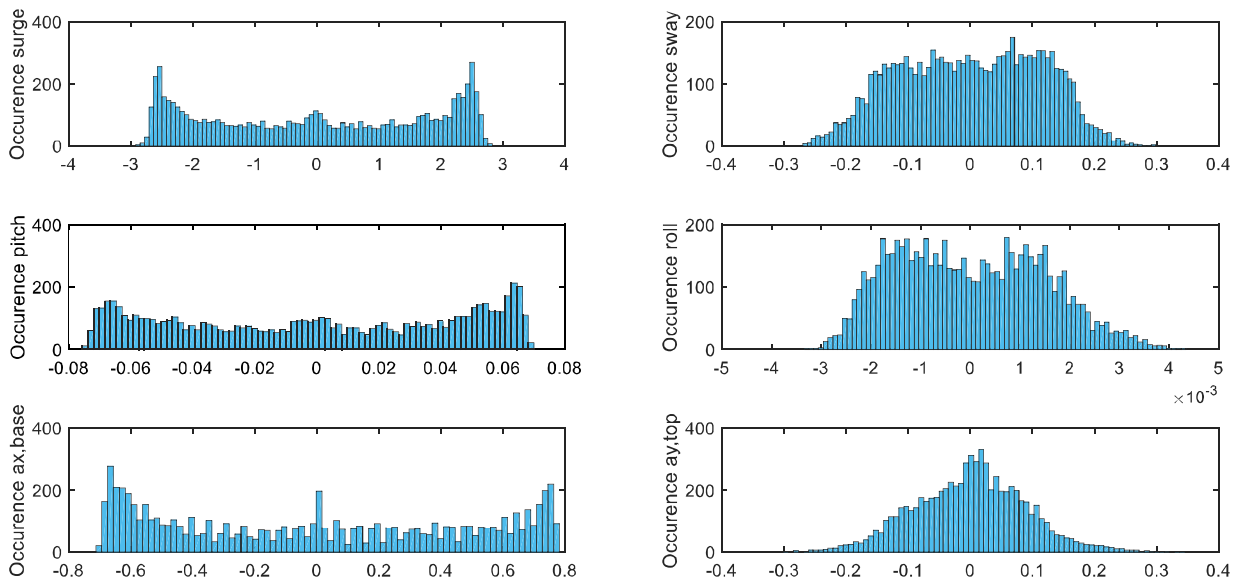
323 To validate the values of damping calculated from the free decay tests, damping ratios at the dominant vibration  
324 frequency were calculated from the PSDFs through the half-power bandwidth method. For the case of the  
325 surge response, the damping ratio evaluated in the different tests is compared with that calculated from free  
326 decay in figure 15; the results obtained in parked conditions are in quite good agreement with each other and  
327 with those coming from free decay. On the other hand, it is observed that for operational conditions there is a  
328 minor scatter of the measured damping ratio calculated in stationary conditions, and some difference with that  
329 calculated from free decay with stationary rotor; these differences are ascribed to gyroscopic effects.



330

331 **Figure 15.** Damping ratios evaluated with the half-power bandwidth method in the surge D.o.F. for the  
 332 different tests.

333 Finally, in figure 16, the histogram of the occurrence frequencies of surge, sway, roll, pitch,  $a_{x,base}$  and  $a_{y,top}$  as  
 334 evaluated from test #1382 are shown. Consistently with what previously observed, it is noticed that the  
 335 quantities related to the longitudinal response feature a bimodal distribution, indicating an almost sinusoidal  
 336 response. On the other hand, the histograms of the quantities related to the lateral response are rather different  
 337 from the previous ones, and from one another; these appear to be associated with the combination of a  
 338 narrowband process and a broader band process, whose relative intensity depends on the particular quantity  
 339 observed.



340

341 **Figure 16.** Histograms of the occurrence frequencies of surge, sway, roll, pitch,  $a_{x,base}$  and  $a_{y,top}$  as measured  
 342 in test #1382.

343 **5.3 Dynamic forces**

344 Somehow similar conclusions to those presented for displacements and accelerations can be drawn for internal  
 345 forces. In the same format as that of Tables 8 to13, Tables 14 through 17 show the power corresponding to  
 346 narrow ranges around the relevant frequencies, together with the total power of four of the force components  
 347 measured in the experiments. The wave frequency is always dominant, with contributions ranging from 84.6%  
 348 to 97.7% for the longitudinal forces, and from 50.4% to 84.8% for the lateral forces. To the lowest components  
 349 at the wave frequency, components at the first and second harmonics are associated, so that the sum is never  
 350 lower than 74.4%.

351 **Table 14.** Force  $F_{x,base}$  narrow-band and total power (MN<sup>2</sup>).

$H$ (m)	parked				Operational			
	4	6	8	10	4	6	8	10
Pitch/Roll Frequency	1.26E-05	3.30E-05	1.24E-04	1.02E-04	2.30E-05	7.97E-06	8.55E-06	1.07E-04
Wave Frequency	8.47E-01	2.10E+00	3.83E+00	6.82E+00	8.78E-01	1.99E+00	3.54E+00	7.21E+00
2X Wave Frequency	3.47E-03	1.27E-02	4.07E-02	9.44E-02	7.18E-04	1.58E-02	9.04E-02	2.08E-03
3X Wave Frequency	1.66E-03	5.19E-03	2.16E-02	3.05E-02	5.48E-02	9.42E-02	2.78E-01	1.52E-01
Total power	8.68E-01	2.15E+00	3.94E+00	7.03E+00	9.53E-01	2.13E+00	3.96E+00	7.53E+00

352 **Table 15.** Force  $F_{y,base}$  narrow-band and total power (MN<sup>2</sup>).

$H$ (m)	parked				Operational			
	4	6	8	10	4	6	8	10
Roll/Pitch Frequency	2.29E-06	4.93E-06	2.62E-05	2.35E-05	3.79E-04	5.08E-04	2.75E-04	3.62E-04
Wave Frequency	1.57E-02	3.49E-02	5.75E-02	1.73E-01	1.35E-02	3.38E-02	5.67E-02	8.61E-02
2X Wave Frequency	2.41E-03	1.97E-03	8.66E-04	2.04E-02	6.76E-04	4.11E-03	2.31E-02	1.68E-02
3X Wave Frequency	6.65E-04	2.90E-03	3.90E-03	1.16E-03	1.62E-03	2.03E-03	3.30E-03	8.28E-04
Total power	1.87E-02	4.29E-02	7.09E-02	2.04E-01	1.90E-02	4.61E-02	9.13E-02	1.15E-01

353 **Table 16.** Force  $F_{x,top}$  narrow-band and total power (MN<sup>2</sup>).

$H$ (m)	parked				Operational			
	4	6	8	10	4	6	8	10
Pitch/Roll Frequency	7.77E-06	1.15E-05	5.26E-05	3.05E-05	1.99E-05	7.93E-06	1.50E-05	6.14E-05
Wave Frequency	4.23E-01	0.10E+01	0.18E+01	0.29E+01	4.93E-01	0.11E+01	0.21E+01	0.30E+01
2X Wave Frequency	1.55E-03	6.47E-03	2.16E-02	6.38E-02	3.93E-04	5.67E-03	2.33E-02	1.86E-02
3X Wave Frequency	1.27E-03	4.11E-03	1.45E-02	2.89E-02	7.54E-02	1.66E-01	3.83E-01	1.38E-01
Total power	4.34E-01	0.11E+01	0.19E+01	0.30E+01	5.68E-01	0.13E+01	0.24E+1	0.32E+01

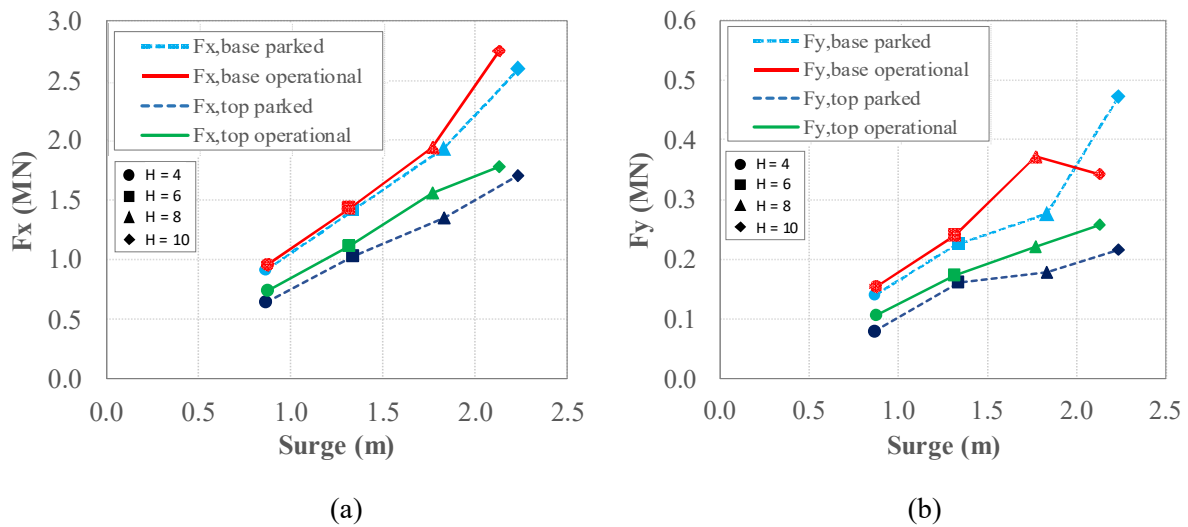


**Table 17.** Force  $F_{y,top}$  narrow-band and total power ( $MN^2$ ).

$H$ (m)	parked				Operational			
	4	6	8	10	4	6	8	10
Roll/Pitch Frequency	1.31E-06	2.12E-06	9.72E-06	9.57E-06	1.54E-04	2.07E-04	1.12E-04	1.52E-04
Wave Frequency	4.16E-03	9.17E-03	1.51E-02	1.67E-02	5.57E-03	1.68E-02	3.06E-02	4.30E-02
2X Wave Frequency	1.59E-03	1.26E-03	7.97E-04	7.14E-03	4.45E-04	1.53E-03	1.04E-02	9.04E-03
3X Wave Frequency	4.48E-04	1.82E-03	3.45E-03	1.43E-03	4.07E-04	5.82E-04	1.55E-06	8.22E-04
Total power	6.63E-03	1.48E-02	2.60E-02	3.31E-02	9.52E-03	2.39E-02	4.92E-02	6.20E-02

355

356 Comparison between the measured displacements and corresponding forces is shown in figure 18. It is  
 357 observed that RMS surge is a meaningful measure of the dynamic response, being the measured forces in  
 358 general monotonically increasing with it. This happens in particular for the longitudinal forces, which are  
 359 clearly associated with the longitudinal inertia; for the lateral forces no relation to the longitudinal inertia is  
 360 expected, however, the trend is still reasonably good.



361

362

363 **Figure 18.** STD of the measured force as a function of the STD of surge in (a) longitudinal and (b)  
 364 transverse directions.

365

#### 5.4 Peak factors and expected maxima

366

The experimental results presented can be used to evaluate the expected maxima of the response parameters.

367

In Table 18 the STD of the ten discussed response parameters (displacements, rotations, accelerations and

368

forces) are summarised for the eight tests.

**Table 18.** STD of displacements, rotations, accelerations and forces.

<i>H</i> (m)	parked				operational			
	4	6	8	10	4	6	8	10
Surge (m)	0.8672	1.340	1.833	2.234	0.8758	1.317	1.770	2.130
Sway (m)	0.0566	0.0889	0.1072	0.3536	0.1091	0.2083	0.1664	0.2492
Pitch (deg)	0.0204	0.0330	0.0458	0.0576	0.0199	0.0362	0.0407	0.0759
Roll (deg)	0.0007	0.0010	0.0014	0.0040	0.0023	0.0036	0.0050	0.0059
$a_{x,base}$ (m/s <sup>2</sup> )	0.2330	0.3617	0.4893	0.6099	0.2396	0.3608	0.4821	0.5740
$a_{y,top}$ (m/s <sup>2</sup> )	0.0348	0.0529	0.0750	0.0857	0.0380	0.0536	0.0870	0.1012
$F_{x,base}$ (MN)	0.9086	1.420	1.933	2.598	0.9566	1.427	1.938	2.748
$F_{y,base}$ (MN)	0.1402	0.2071	0.2663	0.4521	0.1378	0.2148	0.3022	0.3392
$F_{x,top}$ (MN)	0.6426	1.024	1.352	1.702	0.7396	1.112	1.560	1.776
$F_{y,top}$ (MN)	0.0815	0.1218	0.1611	0.1818	0.0959	0.1547	0.2219	0.2483

370 To the aim of obtaining expected response peak values, the peak factors were determined according to  
 371 Vanmarcke [34, 35].

372 The spectral moments were computed by numerical integration. The peak factors for surge, pitch and  
 373 longitudinal acceleration and forces have been calculated based on the bimodal PSD method; the concept of  
 374 bimodal PSD can be generalized including all the structural responses with two dominant frequency ranges  
 375 [36]. The overall dynamic process has been analysed applying two different approaches for the different  
 376 spectral bands, to define a combined peak factor. In particular, the first approach considers the spectral band  
 377 around the wave frequency as a very narrow band process. Thus, the corresponding peak factor  $g_{x1}$  of a  
 378 sinusoidal process, equal to  $\sqrt{2}$  was assumed. The second approach was applied to the remaining, higher  
 379 frequency range, as a Gaussian process. Accordingly, the Vanmarcke approach was applied to calculate the  
 380 corresponding peak factor  $g_{x2}$ . Finally, to evaluate the overall maximum response, the Square Root of the Sum  
 381 of the Squares (SRSS) rule was used to combine the two peak response components [37] as follow:

$$382 \quad \text{Max value} = \sqrt{g_{x1}^2 \sigma_{x1}^2 + g_{x2}^2 \sigma_{x2}^2} \quad (7)$$

383 where  $\sigma_{x1}^2$  and  $\sigma_{x2}^2$  are the variance of the two parts of the dynamical process, calculated from the  
 384 corresponding spectral moment.

385 The peak factors for sway, roll and lateral acceleration and forces have been calculated based only on the  
 386 approach proposed by Vanmarcke, applying to Gaussian, narrowband processes.

387 The peak factors calculated as above, over a duration of 1,053 seconds, that represent the duration of the tests,  
 388 are summarized in Table 18, together with the measured peak factors (in brackets, max/STD) over the same  
 389 record.

390 It is observed that the prediction of the peak factor of the longitudinal components of the response is quite  
 391 accurate, with average errors in the order of 9% in parked conditions and 11% in operational conditions. This  
 392 indicates that the bimodal method performs well in this case. On the other hand, the prediction of the peak  
 393 factor of the lateral components of the response is much more scattered and less accurate, with errors ranging  
 394 from 2% to 100%. This is due to the fact that some of the lateral components of the response are nearly  
 395 Gaussian (e.g.  $a_{y,top}$ ), in which case the prediction is fairly accurate; in some others they are quite away from  
 396 being Gaussian (e.g.  $F_{y,base}$ ), and the prediction is very inaccurate.

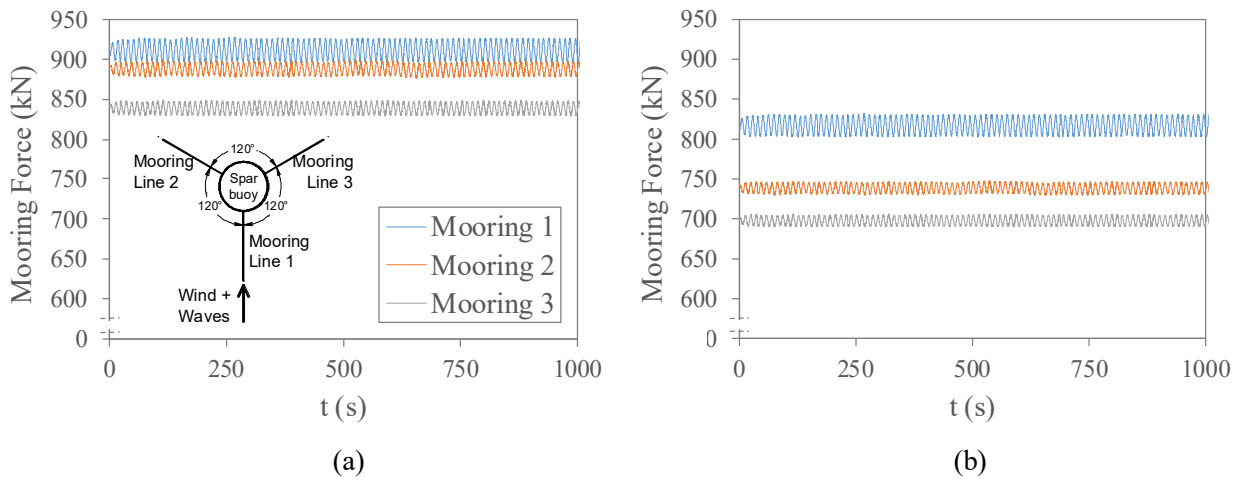
397 **Table 18.** Calculated (measured) peak factors of displacements, rotations, accelerations and forces.

$H$ (m)	Parked				Operational			
	4	6	8	10	4	6	8	10
Surge	1.44 (1.49)	1.43 (1.52)	1.43 (1.53)	1.43 (1.55)	1.46 (1.83)	1.44 (1.64)	1.43 (1.66)	1.43 (1.74)
Sway	3.54 (2.43)	3.47 (2.25)	3.51 (2.65)	3.57 (2.92)	3.60 (3.56)	3.40 (3.83)	3.52 (4.13)	3.42 (3.21)
Pitch	1.47 (1.43)	1.44 (1.49)	1.44 (1.47)	1.52 (1.70)	1.54 (1.82)	1.47 (1.80)	1.46 (1.71)	1.46 (1.71)
Roll	3.60 (2.60)	3.55 (2.11)	3.57 (2.64)	3.73 (3.00)	3.56 (3.23)	3.45 (2.15)	3.43 (1.99)	3.41 (1.88)
$a_{x,base}$	1.45 (1.58)	1.43 (1.56)	1.43 (1.60)	1.45 (1.72)	1.64 (1.68)	1.60 (1.71)	1.58 (1.80)	1.52 (1.77)
$a_{y,top}$	3.45 (2.73)	3.57 (3.40)	3.58 (3.80)	3.57 (4.86)	3.75 (3.25)	3.70 (3.64)	3.63 (3.23)	3.62 (3.55)
$F_{x,base}$	1.47 (1.65)	1.45 (1.68)	1.47 (1.69)	1.49 (1.67)	1.67 (1.47)	1.62 (1.53)	1.74 (1.59)	1.55 (1.49)
$F_{y,base}$	3.37 (1.66)	3.43 (2.29)	3.47 (2.67)	3.38 (2.56)	3.45 (2.78)	3.45 (1.92)	3.44 (2.39)	3.45 (2.56)
$F_{x,top}$	1.50 (1.71)	1.45 (1.75)	1.49 (1.74)	1.53 (1.71)	1.90 (1.84)	1.84 (1.66)	1.91 (1.61)	1.66 (1.70)
$F_{y,top}$	3.43 (2.09)	3.52 (2.96)	3.56 (3.13)	3.54 (2.74)	3.57 (3.18)	3.53 (2.49)	3.49 (2.93)	3.49 (2.74)

## 398 5.5 Mooring lines forces

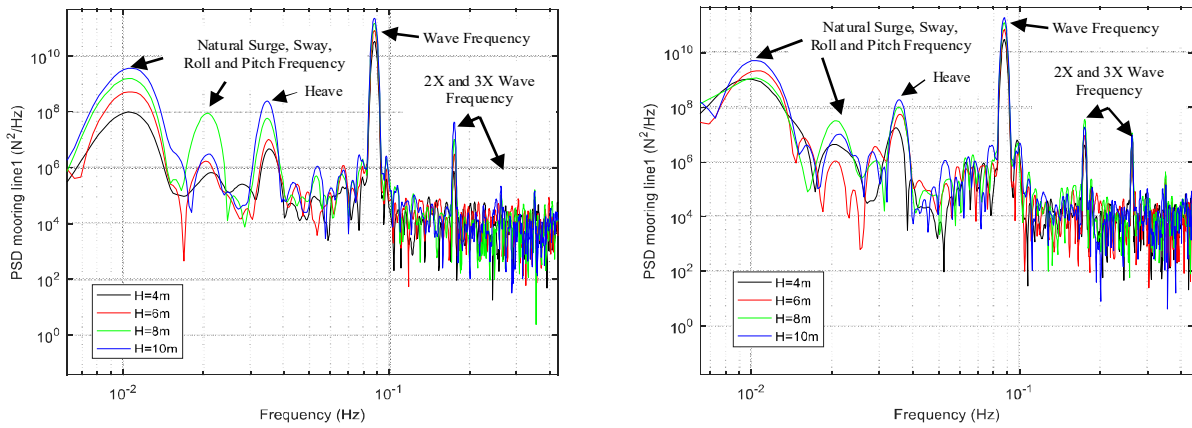
399 Analysis of the mooring line forces revealed a strong sensitivity of the measured data on the alignment of the  
 400 lines with the oncoming waves. In the experimental setup mooring line 1 was aligned with the oncoming waves  
 401 and the mooring lines 2 and 3 were symmetric at an angle of 120° with mooring line 1 (Figure 19a). The  
 402 analysis of measured forces indicated an asymmetric behaviour, which was ascribed to a no perfect alignment  
 403 in the setup. In Figure 19a a sample time history of the force measured in test #1380 is shown, clearly indicated  
 404 the non-symmetric behaviour. Therefore, a correction was applied to the force components, minimizing the

405 difference between the measured mean force in lines 2 and 3. This procedure indicated a misalignment of the  
 406 experimental setup of  $3.63^\circ$  with respect to the oncoming wave direction. In figure 19b the corrected sample  
 407 time histories for test #1380 are shown; in the corrected time histories line 1 is aligned with the oncoming  
 408 wave direction, but a slight asymmetry between lines 2 and 3 is still present, indicating a discrepancy between  
 409 the actual angles between line 1 and lines 2 and 3, and the theoretical value of  $120^\circ$ . These latter experimental  
 410 error cannot be corrected with post processing.



411  
 412 (a) (b)  
 413 **Figure 19.** Sample time histories of mooring line forces for test #1380: raw data (a), corrected data (b).

414 In Figure 20 the PSDFs of the mooring line 1 tension for the parked and operational conditions are shown.  
 415 Like displacement and acceleration spectra, shown in figures 11 to 14, the surge, sway, pitch and roll  
 416 oscillations frequencies are clearly visible, together with the oncoming wave frequency and first and second  
 417 harmonics; in addition, the heave natural oscillation frequency is also visible at 0.034 Hz. Heave response  
 418 appears to be more than linearly increasing with wave height. Table 21 shows the power corresponding to  
 419 narrow ranges around the relevant frequencies, together with the total power of the force in mooring line 1. In  
 420 this case, almost all the energy is concentrated at the wave frequency, from 97.3% to 99.2% of the total power.  
 421 Globally it is observed that the dynamic forces in the mooring lines are larger in parked conditions than in  
 422 operational conditions, essentially due to the different dynamic response of the system coming from the  
 423 presence of aerodynamic damping.



424

425

**Figure 20.** PSDFs of forces in mooring line 1 for parked (left) and operational (right) conditions.

426

**Table 21.** Mooring line 1 force narrowband and total power ( $N^2$ ).

$H$ (m)	Parked				Operational			
	4	6	8	10	4	6	8	10
Surge/Sway Frequency	2.13E+05	1.47E+06	4.01E+06	9.61E+06	1.83E+06	4.66E+06	3.24E+06	1.08E+07
Pitch/Roll Frequency	9.13E+02	3.63E+03	1.56E+05	1.12E+04	1.74E+04	8.94E+03	5.77E+04	4.37E+04
Heave Frequency	7.72E+03	2.08E+04	8.61E+04	5.33E+05	2.96E+04	7.22E+04	1.84E+05	3.49E+05
Wave Frequency	1.02E+08	2.50E+08	4.49E+08	6.95E+08	9.34E+07	2.17E+08	3.90E+08	5.86E+08
2X Wave Frequency	2.95E+03	9.89E+03	3.31E+04	1.32E+05	1.52E+04	3.16E+04	1.16E+05	5.03E+04
3X Wave Frequency	2.92E+02	5.29E+02	3.31E+04	1.47E+03	1.07E+04	2.51E+04	3.32E+04	2.75E+04
Total power	1.03E+08	2.52E+08	4.54E+08	7.06E+08	9.60E+07	2.23E+08	3.95E+08	5.99E+08

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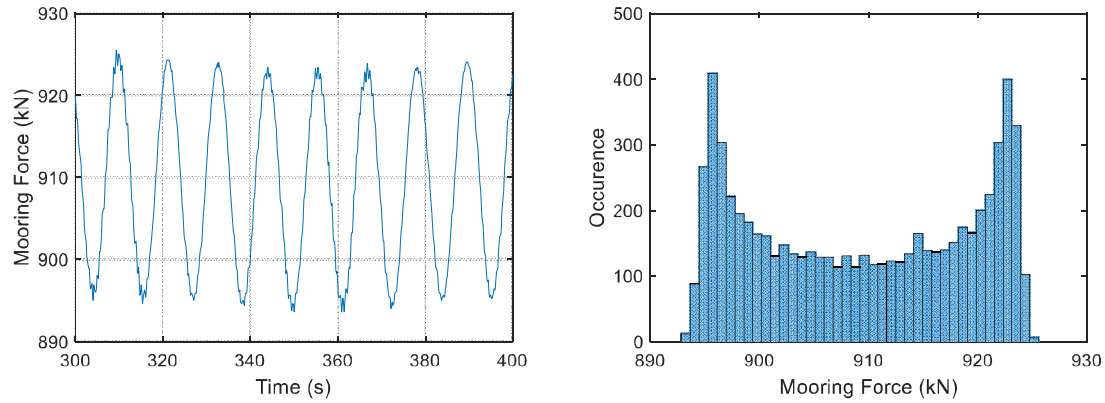
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In Figure 21 a sample time history and the histogram of the occurrence frequencies of the force in mooring line 1 as measured in test #1380, are shown. As expected, it appears that the process is almost sinusoidal, with a minor component at a higher frequency. This suggests that the bimodal method is used for evaluating the peak factors. In Table 22 the mean, STD and calculated and measured peak factors of the force in mooring line 1, are given. Also in this case the dynamic forces are proportional to the oncoming wave height, whereas the mean forces are very little affected by it. Comparison between the calculated and measured values of the peak factors indicate that calculated values are almost coincident with the value of  $\sqrt{2}$  applying to a sinusoidal process, whereas the measured value is some 13% larger, indicating the presence of higher frequency component.



436

437 **Figure 21.** Sample time history and histogram of the occurrence frequencies of the force in mooring line 1 as  
 438 measured in test #1380.

439

440 **Table 22.** Mean, STD and calculated (measured) peak factor of the force in mooring line 1.

	Parked				Operational			
$H$ (m)	4	6	8	10	4	6	8	10
Mean [kN]	909.3	909.9	911.0	924.3	1249.6	1254.4	1263.0	1246.9
STD [kN]	10.13	15.85	21.30	26.56	9.76	14.88	19.81	24.42
Peak factor	1.42 (1.64)	1.42 (1.64)	1.42 (1.69)	1.42 (1.55)	1.43 (1.63)	1.42 (1.63)	1.42 (1.67)	1.42 (1.63)

441 **6. CONCLUSIONS**

442 In this paper, the feasibility of wave basin tests for investigating the dynamic response of a Spar Buoy Wind  
 443 Turbine, has been investigated. Different regular and irregular wave heights have been considered, together  
 444 with three different wind conditions. Displacements, accelerations, tower forces and mooring line forces have  
 445 been measured and analysed.

446 First, free decay tests were carried out to detect the natural periods and the damping ratios. The measured full-  
 447 scale rigid body oscillation frequencies were found to be 0.011 Hz in surge and sway and 0.024 Hz in pitch  
 448 and roll. From measurement of the mooring line tensions in forced vibrations, also the heave frequency could  
 449 be detected and found to be 0.034 Hz. The damping ratios coming from free decay test were compared with  
 450 those measured in forced vibrations, showing a good agreement. In particular, values of 0.12%, 0.19%, 0.13%  
 451 and 0.15% were found from free decay oscillations for surge, sway, roll and pitch, respectively when the fourth  
 452 cycle of oscillation is considered. As a matter of comparison from forced vibration tests on the parked wind

453 turbine a constant value of 0.12 was found for surge, and values in the range of 0.10 and 0.14 for operational  
454 conditions with a mean value of 0.12.

455 Analysis of the dynamic response in terms of displacements, accelerations and tower and mooring line forces  
456 reveals that this occurs mainly at the oncoming wave frequency, with smaller or larger components at its first  
457 and second harmonics. A component of the response was also found at the first elastic bending frequency of  
458 the tower; this, however, was not properly scaled, as the Cauchy number was not considered in the design of  
459 the model.

460 In particular, for the parameters associated with the longitudinal response in all tests the response is dominated  
461 by the wave frequency. It is noticed that in parked conditions the response increases with wave height at all  
462 frequencies of interest, whereas in operational conditions this trend is not always confirmed; this suggests that  
463 the gyroscopic effects and the rotor dynamics can somehow affect response. On the other hand, for the  
464 parameters associated with the lateral response the wave frequency is not always dominant and also the other  
465 harmonics are excited.

466 The comparison between the measured displacements and the corresponding tower forces highlights as the  
467 RMS of the surge is a meaningful measure of the dynamic response, being the measured forces in general  
468 monotonically increasing with it. This happens in particular for the longitudinal forces, which are clearly  
469 associated with the longitudinal inertia; however, for the lateral forces, the trend is still reasonably good.

470 Finally, peak factors were calculated using the bimodal methods for the longitudinal response components and  
471 using the Vanmarcke method for the lateral response components. The first proved to be rather accurate,  
472 whereas the second is more or less accurate depending on the parameter under investigation and on the rotor  
473 condition; this due to the more or less Gaussian nature of the process.

474 It can be concluded that wave basin tests are a useful tool for investigating the dynamic response of Spar Buoy  
475 Wind Turbine, provided that both Froude and Cauchy scaling are taken into account.

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